

SOCIALLY RESPONSIBLE INVESTING (SRI) SCREENING PROCESS

Socially responsible investing (SRI) considers societal issues in the management of an investment portfolio. The practice of SRI screening considers those societal issues in the context of making investment decisions in specific areas.

The Board of Trustees is responsible for overseeing and safeguarding the University's endowment to support the education and research mission of the University. Placing non-financial constraints on the investment holdings of the University's endowment is the sole decision of the Board of Trustees.

The University recognizes the need for a clear process that allows for University community input, evaluation, and articulated criteria to inform the University and/or Board in its decision-making related to socially responsible investing as described below:

- Concerns from members of the University community are brought to the attention of the Chancellor and/or University Administration.
- The Chancellor, in consultation with other members of the University Administration, shall determine whether the concerns meet a significant threshold, which can include, but shall not be limited to a persistent issue that has been raised over a period by multiple stakeholders.
- If the concerns meet the threshold—and following consultation with the Chairperson of the University Board of Trustees—the Chancellor will determine whether to bring the matter forward to the Board immediately or whether to establish an Ad Hoc Advisory Committee to perform a thorough analysis of the issue at hand.
- If established, the Chancellor shall determine the charge and composition of the Ad Hoc Advisory Committee.
- The role of the Ad Hoc Advisory Committee would be to follow the guidance in the charge, conduct analysis, outline the issues in a non-biased and balanced way, and develop a findings report.
- The completed findings report is provided by the Chancellor to the Chairperson of the Board of Trustees for consideration and appropriate action.